

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

14952

In Re Application Of: Takeshi A. MI, et al.

Serial No.

09/937,272

Filing Date

Sept. 24, 2001

Examiner

Unassigned

Group Art Unit

1765

Title: **METHOD FOR CONTROLLING MELT AND METHOD FOR GROWING CRYSTAL**

Address to:

Assistant Commissioner for Patents

Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
  2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

**OR**

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

**RECEIVED**  
JUL 16 2003  
GROUP 1700**RECEIVED**  
MAY 23 2002  
TC 1700**COPY OF PAPERS  
ORIGINALLY FILED**

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

14952

In Re Application Of: Takeshi A. MI, et al.

MAY 22 2002

Serial No.

09/937,272

Filing Date

Sept. 24, 2001

Examiner

Unassigned

Group Art Unit

1765

Title: **METHOD FOR CONTROLLING MELT AND METHOD FOR GROWING CRYSTAL****Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 19-1013/SSMP as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. \_\_\_\_\_)

on \_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I certify that this document and fee is being deposited on May 10, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

\_\_\_\_\_  
Signature of Person Mailing Correspondence

Michelle Mustafa

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Correspondence

\*This certificate may only be used if paying by deposit account.

\_\_\_\_\_  
Signature

Paul J. Esatto, Jr., Reg. No. 30,749  
SCULLY, SCOTT, MURPHY & PRESSER  
400 Garden City Plaza  
Garden City, NY 11530  
(516) 742-4343

PJE:ahs

Dated: May 10, 2002

COPY OF PAPERS  
ORIGINALLY FILED

RECEIVED  
MAY 23 2002  
TC

CC:



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicants:** Takeshi AZAMI, et al.

**Examiner:** Unassigned

**Serial No.:** 09/937,272

**Art Unit:** 1765

**Filed:** September 24, 2001

**Docket:** 14952

**For:** METHOD FOR CONTROLLING MELT  
AND METHOD FOR GROWING CRYSTAL

**Dated:** May 10, 2002

Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with 37 C.F.R §§1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Japanese Laid-Open Publication No. 7-82075, dated March 28, 1995, together with English-language Abstract;
2. Z. Niu et al., "Effect of Oxygen and Temperature on the Surface Tension of Molten Silicon", Nippon Kesshou Seichou Gakkaishi, Vol. 24, No. 4, 1997, pp. 369-378;

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on May 10, 2002.  
Dated: May 10, 2002

Michelle Mustafa

COPY OF PAPERS  
ORIGINALLY FILED

PATENT  
JUL 16 2003  
RECEIVED  
GROUP 1700

RECEIVED  
MAY 23 2002  
TC 1700

3. Japanese Laid-Open Publication No. 8-259380, dated October 8, 1996, together with English-language Abstract;
4. T. Hibiya, et al., "Interfacial phenomena of Molten Silicon: Marangoni Flow and Surface Tension", Phil. Trans. R. Soc. Lond. A., Vol. 356, 1998, pp. 899-909; and
5. Japanese Laid-Open Publication No. 7-291783, dated November 7, 1995, together with English-language Abstract.

The references were cited in a Search Report received from the International Patent Office dated July 11, 2000. Applicants are submitting copies of the above-cited references, together with a copy of the Search Report. The relevance of the above-identified references has been described in the Search Report.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



Paul J. Esatto, Jr.

Registration No. 30,749

Scully, Scott, Murphy & Presser  
400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343

PJE:ahs

